JAP12 Rec'd PCT/PTO 04 MAY 2007

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

MILLIS et al.

Examiner:

UNKNOWN

Serial No.:

10/542,167

International Application Filing Date:

Group Art Unit:

UNKNOWN

January 13, 2004

Docket No.:

CAR0033/US

International Application No.:

PCT/US04/000841

For:

METHOD OF MAKING

INDUSTRIAL CHEMICALS

United States Patent & Trademark Office Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I CERTIFY THAT THIS DOCUMENT IS BEING DEPOSITED ON MAY 4, 2007, WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE, VIA MAILING LABEL NUMBER EV801405410US, ADDRESSED TO: UNITED STATES PATENT & TRADEMARK OFFICE, COMMISSIONER FOR PATENTS, P.O. BOX 1450,

REPLY TO NOTIFICATION OF DEFECTIVE RESPONSE

This paper is submitted in response to the Notification of Defective Response ("Notification") issued in the above-identified application on April 4, 2007. The Notification also included an attached Withdrawal of Previously Sent Notice ("Withdrawal Notice") in order to inform the Applicant that the Notice of Abandonment mailed on 8/7/2006 was sent in error and has now been withdrawn.

The due date for filing a reply to the Notification is May 4, 2007. Accordingly, this Reply is timely filed on May 4, 2007.

The Notification states that the following items must be submitted in order to complete the requirements for acceptance under 35 USC §371.

(1) Oath or declaration of the inventors, in compliance with 37 CFR §1.497(a) and (b), identifying the application by the International application number and international filing date.

- (2) Surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR §1.492(h) of \$130.
- (3) Application examination fee for non-small entity of \$200.

In response to the Notification, Applicant submits herewith the following items.

- (1) A complete copy of the Notification and the Withdrawal Notice.
- (2) A Declaration of the inventors in compliance with 37 CFR §1.497(a) and (b). Specifically, four complete copies of the Declaration are submitted herewith with one copy signed by each inventor.
- (3) A check in the amount of \$330.00, including \$130.00 for the surcharge under 37 CFR §1.492(h) and \$200.00 for non-small entity examination fee.

With the above submissions, it is believed that all items in the Notification have been submitted and that the submission under 37 CFR §371 is now complete. If any additional fees are due, please charge the fees to Deposit Account 50-1775 and notify us of the charges.

Date: May 4, 2007

05/09/2007 MKAYPAGH 00000075 10542167

01 FC:1617 02 FC:1633

130.00 OP 200.00 OP Respectfully submitted,

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#35641



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS FO. Box 1450 Alexandria, Viginia 22313-1450 www.uspio.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/542,167

James R Millis

CAR0033/US

INTERNATIONAL APPLICATION NO. PCT/US04/00841

I.A. FILING DATE PRIORITY DATE

01/13/2004

CONFIRMATION NO. 8426 371 FORMALITIES LETTER

OC000000023234522

33072 KAGAN BINDER, PLLC SUITE 200, MAPLE ISLAND BUILDING 221 MAIN STREET NORTH STILLWATER, MN 55082

Date Mailed: 04/04/2007

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Copy of the International Application filed on 07/12/2005
- Copy of the International Search Report filed on 07/12/2005
- Copy of IPE Report filed on 07/12/2005
- Preliminary Amendments filed on 08/31/2006
- Information Disclosure Statements filed on 08/31/2006
- Oath or Declaration filed on 08/31/2006
- Request for Immediate Examination filed on 07/12/2005
- U.S. Basic National Fees filed on 07/12/2005
- Priority Documents filed on 07/12/2005
- Power of Attorney filed on 09/01/2006

Applicant's response filed 09/01/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 12/27/2005 have not been completed.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- Surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 was not received.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$330 for a Large Entity:

• \$130 Surcharge.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

• The application examination fee has not been paid. Applicant must submit \$200 to complete the examination fee for a non-small entity. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/542,167	PCT/US04/00841	CAR0033/US

FORM PCT/DO/EO/916 (371 Formalities Notice)



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
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Alexandria, Virginia 22313-1450
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APPLICATION NUMBER FILING OR 371(c) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO/TITLE

10/542,167

James R Millis

CAR0033/US

33072 KAGAN BINDER, PLLC SUITE 200, MAPLE ISLAND BUILDING 221 MAIN STREET NORTH STILLWATER, MN 55082 CONFIRMATION NO. 8426 WITHDRAWAL NOTICE

Date Mailed: 04/04/2007

WITHDRAWAL OF PREVIOUSLY SENT NOTICE

The Notice of Abandonment mailed on 08/07/2006 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. The Office regrets any inconvenience the error may have caused.

A copy of this notice MUST be returned with the reply.